

On Decoding Cohen-Haeupler-Schulman Tree Codes

Matthew Weidner^{1*}

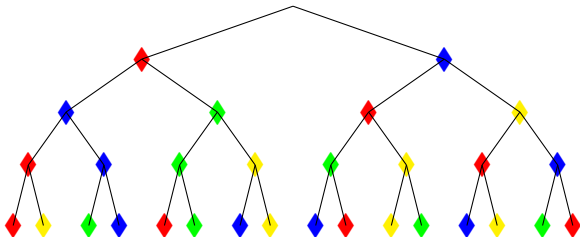
joint with Anand Kumar Narayanan²

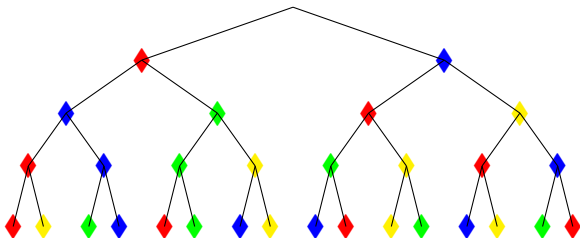
¹Carnegie Mellon University, Pittsburgh, PA, USA

²Laboratoire d'informatique de Paris 6,
Sorbonne Université, Paris, France

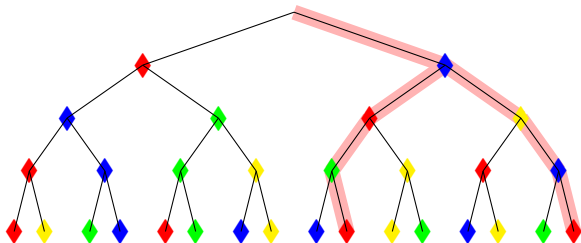
SODA 2020

*Supported by the NSF through a SIAM Travel Award

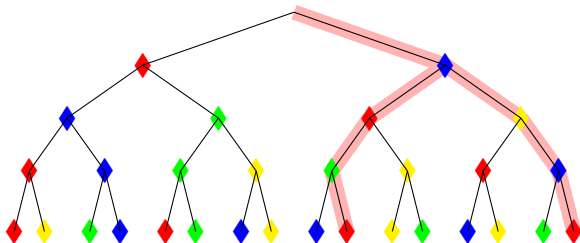




Goal: different branches have very different color sequences (after they diverge).

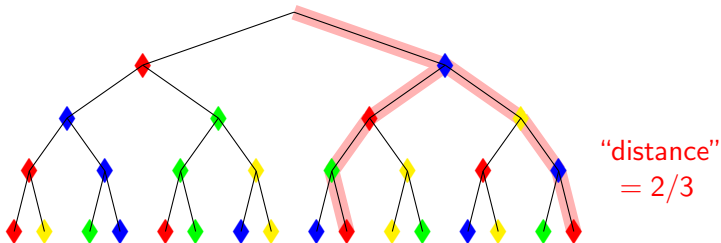


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“distance”
= $2/3$

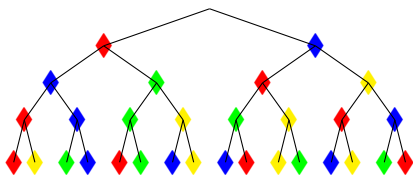
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Parameters:

- Length (depth)
- Alphabet size (number of colors/labels)
- Distance (minimum distance between two branches)



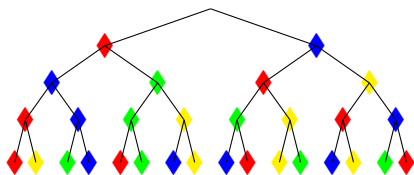
\approx

Online function

$$\{0, 1\}^{\leq n} \rightarrow \Sigma^{\leq n},$$

some alphabet Σ .

- Online analog of error-correcting codes

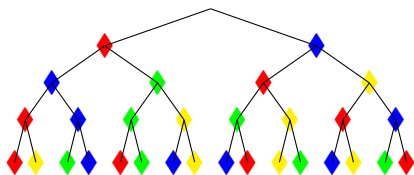


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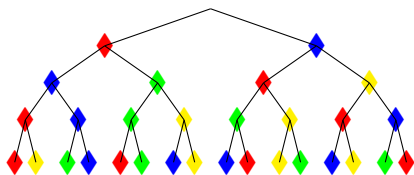
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 - Can “decode” input from errored version of output with $< \frac{1}{2}(\text{distance})$ errors



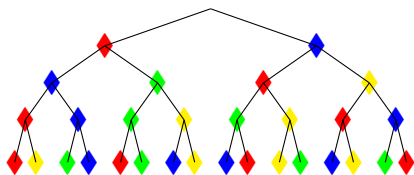
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- (Schulman 90s): Add error tolerance to *interactive communication protocols*



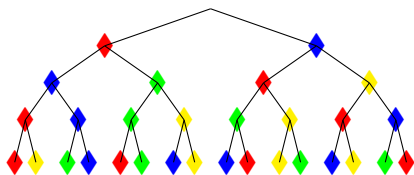
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- (Schulman 90s): Add error tolerance to *interactive communication protocols*
- Explicit constructions are challenging
 - “Good” tree codes exist, but no poly-time construction is known!

(Binary) tree codes $TC : \{0, 1\}^{\leq n} \rightarrow \Sigma^{\leq n}$, $|\Sigma| = \text{polylog}(n)$,
distance = $\frac{1}{2}$.

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$$(z_0, z_1, \dots, z_{n-1}) \mapsto ((z_0, a_0), (z_1, a_1), \dots, (z_{n-1}, a_{n-1}))$$

under the Newton basis transformation

$$z_i = \sum_{j=0}^{n-1} a_j \binom{i}{j}, \quad \forall i$$

with the inversion formula

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Cohen-Haeupler-Schulman Tree Codes

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$$p(i) = z_i,$$

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Theorem

If $z_0 \neq 0$, then

$$\text{Sparsity}(z_0, z_1, \dots, z_{n-1}) + \text{Sparsity}(a_0, a_1, \dots, a_{n-1}) \geq n + 1$$

$$\Rightarrow \text{Sparsity}((z_0, a_0), (z_1, a_1), \dots, (z_{n-1}, a_{n-1})) \geq \frac{n}{2}.$$

Thus the CHS code has distance $1/2$.

Restated:

$$(z_0, z_1, \dots, z_{n-1}) \mapsto ((z_0, a_0), (z_1, a_1), \dots, (z_{n-1}, a_{n-1})),$$

$$p(x) = \sum_{j=0}^{n-1} a_j \binom{x}{j}, \quad z_i = p(i), \quad \forall i.$$

Theorem

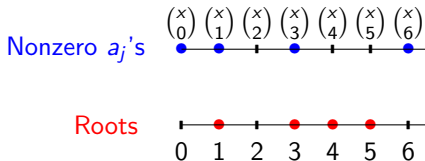
If $p(0) \neq 0$, then the number of roots of $p(x)$ in \mathbb{N} is less than its sparsity in the Newton basis $\{\binom{x}{j}\}_{j \geq 0}$.

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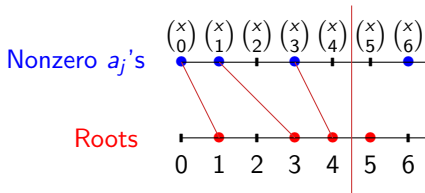
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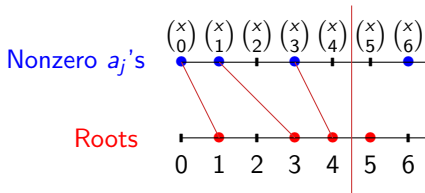
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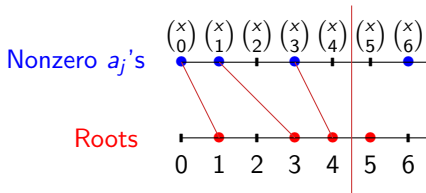
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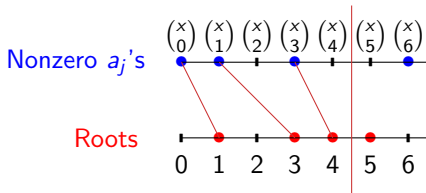
Then $M_{IJ} \cdot (a_{j_1} \ \cdots \ a_{j_k})^T = \vec{0}$, where M_{IJ} is the I, J minor of

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But by the **Lindström-Gessel-Viennot Lemma**, $\det M_{IJ} \neq 0$.



Our Work

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- 2 Number-theoretic variants of $TC_{\mathbb{Z}}$ with similar parameters
- 3 Speculative framework for decoding via convex optimization

$$(z_0, z_1, \dots, z_{n-1}) \mapsto ((z_0, a_0), (z_1, a_1), \dots, (z_{n-1}, a_{n-1})),$$

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Given a “received word” $((\widehat{z}_0, \widehat{a}_0), (\widehat{z}_1, \widehat{a}_1), \dots, (\widehat{z}_{n-1}, \widehat{a}_{n-1}))$ such that $(\widehat{z}_i, \widehat{a}_i) = (z_i, a_i)$ except at $< n/4$ coordinates, output z_0 , in time $\text{poly}(n)$.

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More generally, given the above and the prefix z_0, \dots, z_{k-1} , if $(\widehat{z}_i, \widehat{a}_i) = (z_i, a_i)$ except at $< (n - k)/4$ coordinates $i \geq k$, output z_k .

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This yields a decoding algorithm for the binary tree codes, correcting up to $< n/4$ errors.

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- 1 Locate $\alpha(n)/2$ indices $i \in [0, \alpha(n))$ such that $\hat{z}_i = z_i$, for some $\alpha(n) = \Omega(\sqrt{n \log(n)})$, using a Newton basis analog of the Sudan and Shokrollahi-Wasserman algorithms.

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- 2 Using a duality trick, do the same for the a_j 's with the same algorithm.
- 3 Among the first $\alpha(n)$ coordinates, we have as many known z_i 's as unknown a_j 's. Interpolate the remaining unknown a_j 's using the Lindström-Gessel-Viennot Lemma, recovering $z_0 = a_0$.

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Plan: Show $b(x) + p(x)c(x)$ is sparse but also has many roots, hence is ≈ 0 . (Specifically, $b(i_0) + p(i_0)c(i_0) = 0$.)

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Let $p(x) = \sum_{j=0}^{n-1} a_j \binom{x}{j}$, the correct polynomial. So $p(i) = z_i$.

Bound on # errors $\implies p(x)$ is $O(\sqrt{n/\log(n)})$ -sparse, and the \widehat{z}_i are the outputs of $p(x)$ except with $O(\sqrt{n/\log(n)})$ errors.

Plan: Show $b(x) + p(x)c(x)$ is sparse but also has many roots, hence is ≈ 0 . (Specifically, $b(i_0) + p(i_0)c(i_0) = 0$.)

Then $c(i_0) \neq 0 \implies \widehat{z}_{i_0} = p(i_0) = z_{i_0}$, as desired.

$$\alpha = O(\sqrt{n \log(n)}), \quad |R| = n - 2\alpha + 1, \quad b(x) = \sum_{j \in [0, \alpha) \cup R} b_j \binom{x}{j}, \quad c(x) = \sum_{j=0}^{\alpha-1} c_j x^j$$

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$$p(x)c(x) \quad \binom{x}{0} \quad \text{---} \quad \text{---} \quad \text{---} \quad \binom{x}{n-1}$$

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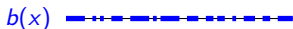
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In the Reed-Solomon code version, these are degrees, which add.

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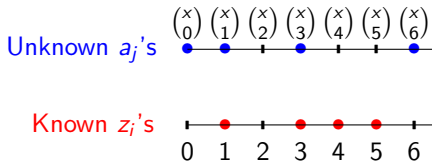
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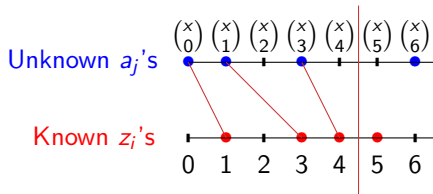
Treat $((\hat{a}_0, \hat{z}_0), (-\hat{a}_1, -\hat{z}_1), (\hat{a}_2, \hat{z}_2), \dots)$ as an errored encoding of $(a_0, -a_1, a_2, \dots)$ and apply step 1 again.

- 3 Among the first $\alpha(n)$ coordinates, we have as many known z_i 's as unknown a_j 's. Interpolate the remaining unknown a_j 's using the Lindström-Gessel-Viennot Lemma, recovering $z_0 = a_0$.

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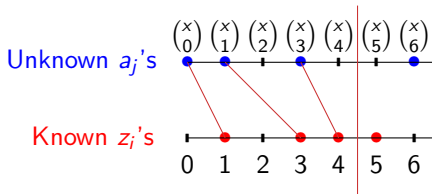


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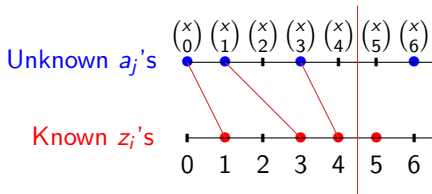
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$$M_{IJ} \cdot \begin{pmatrix} a_{j_1} \\ \vdots \\ a_{j_k} \end{pmatrix} = \begin{pmatrix} z_{i_1} = \widehat{z}_{i_1} \\ \vdots \\ z_{i_k} = \widehat{z}_{i_k} \end{pmatrix}, \quad M = \left\{ \binom{i}{j} \right\}_{0 \leq i, j \leq n-1}$$

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All $j_\ell \leq i_\ell \implies \det(M_{IJ}) \neq 0$ by LGV. Solve for $a_{j_1} = a_0 = z_0$. \square

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We generalized the CHS integer tree code construction and found variants with similar parameters.

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- $q = \zeta_\ell$ for $\ell > n^3$ prime
- $q = e^{2\pi i \theta}$ for $\theta \in \mathbf{R}$ irrational & algebraic (w/ rounding)

Speculative Framework for Decoding via Convex Optimization

Decoding problem: given \hat{x} = errored received output, solve

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Suffices to construct such
an F with shape:

$$\begin{pmatrix} * & * & 0 & 0 & 0 & 0 & \cdots & 0 & 0 \\ * & * & * & * & 0 & 0 & \cdots & 0 & 0 \\ * & * & * & * & * & * & \cdots & 0 & 0 \\ \vdots & & & & & & \ddots & & \vdots \\ * & * & * & * & * & * & \cdots & * & * \end{pmatrix}$$

However, this appears impossible. Need new “online RIP”.

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Open problem: construct $n \times n$ online hyperinvertible matrices over small finite fields.

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Without online condition, Beerliová-Trubíniová and Hirt construct

$$M = \left\{ \prod_{k \neq j} \frac{\beta_i - \alpha_k}{\alpha_j - \alpha_k} \right\}_{0 \leq i, j \leq n-1}$$

over $\mathbb{F}_{2n} = \{\alpha_1, \dots, \alpha_n, \beta_1, \dots, \beta_n\}$. (Interpolate $g(\alpha_j) = z_j$, then output $(g(\beta_i))_i$.)